Supplier Diversity Certification Form



Supplier Information

Supplier Name	Supplier N	Supplier Number*	
NAICS Code	DUNS Co	de	
Email:			
Street Address			
City	State	Zip	

*If you are a new supplier, please visit https://prospectivesupplier.deere.com/ to complete your profile and receive a temporary supplier number.

Classifications (Select all that apply)

Alaska Native (ANCs) or Indian Tribe HUBZone Business LGBT-Owned Business Minority Business Enterprise (MBE) Small Business Small Disadvantaged Business (SDS) Women Business Enterprise (WBE) Woman-Owned Small Business (WOSB) Veteran-Owned Business (VOB) Veteran Service-Disabled Small Business (SD VOSB) Other Certifications (Please Specify):

Ethnicity (optional): African American Asian Indian American Asian Pacific American Hispanic American Native American Other (Please Specify):



Additional Requirements

Relevant Certifications (WBE, MBE, HUB, NGLCC, etc.) attached: Yes No

Recertification dates for various councils (MM/DD/YYYY):

HUB	MBE
VOSB	SD VOSB
WBE	WOSB

Signature and Certification

In order to comply with John Deere's policy regarding the procurement of goods and services from various classes of suppliers and the Federal Government requirements, John Deere requires accurate answers to the questions outlined above.

In accordance with FAR 52.219-1; under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone, small disadvantaged, women-owned, minority- owned, veteran-owned, service disabled veteran-owned business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9 or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for definition of program eligibility, shall

- Be punished by imposition of fine, imprisonment, or both;

- Be subject to administrative remedies, including suspension and debarment, or both;

- Be ineligible for participation in programs conducted under the authority of the Act.

The Supplier attests that an officer or someone who can legally bind the Supplier has affixed its electronic signature below, which certifies that the above information is true and that it complies with and will continue to comply with applicable federal laws and regulations governing contracts or orders between Supplier and John Deere.

Name

Date

Title

Signature

Note: John Deere is required to have an electronic copy of this certification on file. Please submit this form along with the appropriate certification documentation.



Classification Information

Minority: Certified by NMSDC: https://nmsdc.org/mbes/mbe-certification/

At least 51% owned but one or more minorities (African American, Hispanic American, Native American, Asian Pacific American, Asian Indian American) or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more minorities, and whose management and daily business operations are controlled by one or more minorities.

Women: Certified by WBENC: https://www.wbenc.org/certification/

At least 51% owned by one or more women; or, in the case of any publicly owned business, at least 51% of the stock is owned by one or more women, and management and daily business operations are controlled by one or more women.

LGBT: Certified by NGLCC: https://nglcc.org/

At least 51% owned, operated, managed, and controlled by an LGBT person or persons who are either U.S. citizens or lawful permanent residents, has been formed as a legal entity in the U.S., has its principal place of business in the U.S., and exercises independence from any non-LGBT enterprise

Small Business: Certified by SBA: http://www.sba.gov

SMALL BUSINESS CONCERN is defined as, including its affiliates, that is independently owned and operated, and qualified as a small business under the criteria and size standards of the Small Business Administration (SBA). Generally, manufacturers employing fewer than 500 persons are considered small. For non-manufacturers, generally size is determined by average annual receipts for the last 3 years.

Small Disadvantaged Business: Certified by SBA: <u>https://www.sba.gov/federal-</u>contracting/contracting-assistance-programs/8a-business-development-program

A social and economically disadvantaged Small Business Concern which is at least 51% owned by women or one or more minorities (African American, Hispanic American, Native American, Asian Pacific American, Asian Indian American); or, in the case of any publicly owned business, at least 51% of the stock is owned by women or one or more minorities, and whose management and daily business operations are controlled by women or one or more minorities. In addition to the above ownership requirements, the personal net worth of the owner (s) is less than \$750,000, excluding the equity of the business and primary residence.

HUBZone: Certified by SBA: <u>https://www.sba.gov/federal-contracting/contracting-assistance-programs/hubzone-program</u>

A historically under-utilized business zone, which is an area located within one or more qualified tracts, qualified non-metropolitan counties, or lands, within the external boundaries of an Indian reservation which has been certified as such by the Small Business Administration (SBA), and at least 35% of employees reside in a HUBZone.

Veteran Owned Small Business: https://www.sba.gov/business-guide/grow-your-

business/veteran-owned-businesses

At least 51% owned by one or more veterans or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more veterans, and the management and daily business operations of which are controlled by one or more veterans. The requirements for SMALL BUSINESS concerns must also be met

Service Disabled Veteran Owned Small Business: Certified by SBA:

https://www.sba.gov/federal-contracting/contracting-assistance-programs/service-disabled-veteran-owned-small-businesses-program

At least 51% owned by one or more veterans or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more veterans, and the management and daily business operations of which are controlled by one or more veterans. "VETERAN SERVICE DISABLED" is defined as; a disability incurred or aggravated, in the line of duty in the active military, naval, or in air service.